

中國文化大學國際企業管理研究所
博士論文研究計畫及論文初審辦法
Graduate Institute of International Business Administration
Chinese Culture University

The Regulations for Doctoral Dissertation Proposals
and Preliminary Examination of Doctoral Dissertation

93.08.30 所務會議通過	Approved at Faculty Conference dated 30 Aug., 2004
100.09.02 所務會議通過	Approved at Faculty Conference dated 02 Sept., 2011
100.11.9(100.1)校課程委員會通過	Approved by School Curriculum Committee dated 09 Nov., 2011 (100.1)
100.11.23(100.1)教務會議通過	Approved at Academic Affairs Conference dated 23 Nov., 2011 (100.1)
100.12.7 第 1663 次行政會議通過	Approved at the 1663th Administrative Conference dated 07 Dec., 2011
102.4.10 (101.2.1)所務會議通過	Approved at Faculty Conference dated 10 Apr., 2013
103.3.26(102.2.1)所務會議通過	Approved at Faculty Conference dated 26 Mar., 2014
103.10.14(103.1.2)所務會議通過	Approved at Faculty Conference dated 14 Oct., 2014
104.1.15(103.1.3)所務會議通過	Approved at Faculty Conference dated 15 Jan., 2015
105.8.10(105.1.1)所務會議通過	Approved at Faculty Conference dated 10 Aug., 2016

第一章 總則

Chapter 1 Overview

- 一、為提昇本所博士生之研究能力，特制定本辦法。
 1. The Regulations are to enhance the research capabilities of doctoral students in Graduate Institute of International Business Administration (hereinafter referred as the GIIBA).
- 二、國際企業管理研究所（以下簡稱本所）之博士班學生，敦聘指導教授、申請博士候選人資格、申請博士論文研究計畫評核、及申請論文初審，均應依本辦法規定。
 2. Doctoral students in the GIIBA shall follow the Regulations when inviting their dissertation advisors and applying for doctoral candidates, the examination of doctoral dissertation proposal, and preliminary examination of doctoral dissertation.

第二章 畢業論文指導教授之敦聘

Chapter 2 The invitation of dissertation advisor

- 三、博士生須先取得博士候選人資格後，方可商請與論文研究主題及方向契合之教授擔任畢業論文指導教授，並繳交「指導教授論文指導同意書」一份至所辦公室核可備查。
 3. Doctoral students must be doctoral candidates to invite professors as dissertation advisors whose research areas meet students' dissertation topics and submit one copy of the "Advisor's Permission to Guidance" form to the GIIBA Office for approval and reference.
- 四、指導教授之資格與可收錄學生之人數
 4. Qualifications of advisors and number of doctoral students under guidance:
 - (一)必須為教授、或任教 5 年以上之副教授。

- A. Full professors or associate professors with at least 5 years of teaching experience.
- (二) 在本所授課之本校專任老師及特聘講座教授，為最優先之敦聘對象。每位老師每學年至多可新收錄 3 名博士生。
- B. Full-time professors and distinguished professors who teach at the GIIBA are the first choice to be invited as advisors. Each advisor is allowed to accept at most 3 new doctoral advisees in each academic year.
- (三) 在本所授課之兼任老師。需另請一位在本所授課之本校專任老師、或未在本所授課之本校商學院專任老師為共同指導教授(後者需經本所所務會議審查認可)。每位兼任老師每學年至多可新收錄 1 名博士班學生。
- C. Part-time professors who teach at the GIIBA must invite another full-time professor at the GIIBA or a professor in College of Business but not at the BIIBA as a co-advisor (the latter requires the approval of the Faculty Conference). Each part-time professor is allowed to accept one new doctoral advisee at most in each academic year.
- (四) 本校商學院之專任、但未在本所授課之副教授級以上，且任教五年以上者具有 SSCI、SCI、EI、或 TSSCI 之學術著作的老師，需經所務會議審查認可。每位老師每學年至多可新收錄 2 名博士生。
- D. Full-time associate professors above in College of Business with at least 5 years of teaching experience, who have published academic works in the SSCI, SCI, EI or TSSCI, yet do not teach at the GIIBA, are allowed to accept at most 2 new doctoral advisees in each academic year under the approval of the Faculty Conference.
- 五、在本校專任之老師若與其他老師共同指導學生，每一學生以 0.5 名學生計算。
5. If a full-time professor guides a doctoral student together with a co-advisor, each student under such guidance is counted as 0.5 advisee in number and in quantity.
- 六、每位專、兼任老師及特聘講座教授跨年之總指導學生以不超過 7 名為限。
6. The number of advisees that each full-time, part-time, and distinguished professor guides in crossing years is limited to no more than 7 students in total.
- 七、在本所外籍學生博士班任課之老師、且不具有副教授任教 5 年以上的資歷，仍可擔任外籍博士生之指導教授，但不可擔任本國博士生之指導教授。
7. Professors who teach international doctoral students in the GIIBA, and who are not at least associate professors with at least 5 years of teaching experience, are qualified to be international doctoral students' advisors, but not local doctoral students.

第三章 博士候選人資格之申請

Chapter 3 Application for Ph.D. Candidates

八、本所博士班學生申請候選人資格時，必須就以下 2 種方式擇一通過：

8. Doctoral students in the GIIBA must choose 1 of the following options to be doctoral candidates:

(一)通過指定科目之筆試。

A. To pass subject tests.

(二)期刊論文寫作:在 SSCI (Social Science Citation Index)、SCI (Science Citation Index)、EI (EI Compendex)或 TSSCI (Taiwan Social Science Citation Index)期刊投稿，經期刊之主編轉請兩人以上之評論人 (reviewers)進行匿名審查，並取得審查意見後，經提出期刊主編之正式通知文件 (包含期刊主編署名之電子郵件)、審查意見、及投稿論文全文，即取得博士候選人資格。期刊之類型、論文之篇數、及其他相關規定如下：

B. To submit papers to academic journals: submitting at least one paper to journals listed on the SSCI (Social Science Citation Index), SCI (Science Citation Index), EI (EI Compendex) or TSSCI (Taiwan Social Science Citation Index), and obtaining comments provided by more than two anonymous reviewers requested by the journal editor. The applicant should submit an official notification from the journal editor (including emails with proper signature of the editor), together with reviewers' comments and the reviewed papers to the Office to be doctoral candidates. The types of journals, number of papers and other relevant regulations are as follows:

1. 期刊之類型與論文之篇數

(A) Types of journals and number of papers:

甲、SSCI：一篇

乙、SCI：二篇

丙、EI Compendex：二篇，限期刊論文。

丁、TSSCI：一篇，不包含 TSSCI 觀察名單之期刊。

戊、上述甲～丁項期刊之組合的適當性，由所長認定。

a. SSCI: one paper

b. SCI: two papers

c. EI Compendex: two papers, limited to journal papers.

d. TSSCI: one paper, excluding the journals on the TSSCI watch list.

e. The appropriateness of the combination of papers reviewed in different types of journals among the above-mentioned journals shall be approved by the Director of the GIIBA.

2. 本所博士班學生投稿之學術論文，其所刊登之刊物僅須符合本規定第八條第(二)款第 1 項之甲～丁四項標準之一、或戊項之規定即可。

申請者必須提出投稿全程之相關證明文件。

(B) The journal(s) in which the paper(s) is/are reviewed must comply with

one of the four criteria in Paragraphs a-d or e in Item (A) under Article 8. Applicants must provide all relevant files related to the paper submission and review as supporting documents.

3. 投稿之論文限以在本所就讀期間完成者，並必須以本所博士班研究生或博士候選人名稱投稿，且必須為通訊作者。同一篇論文中若有一人以上之學生作者，該篇論文必須以公式： $(1/\text{學生作者人數})$ 計算篇數。

(C) The paper(s) submitted must be written within the semester periods in which the doctoral student is enrolled and must be published under the student's name entitled with "doctoral student" or "doctoral candidate" at the GIIBA. He/she must be the corresponding author. If two or more authors are students, the calculation of the number of papers contributed must be based on the formula: $1 / \text{total number of students in the list of authors}$.

4. 投稿之期刊不得為掠奪性期刊(以網站：<https://predatoryjournals.com/journals/>為準)，且研究之題目符合國際企業管理的範疇。

(D) The journal to which the paper(s) submitted must not be on the list of predatory journals (refer to the website: <https://predatoryjournals.com/journals/>). The topic of the article must be related to the field of International Business Administration.

九、一百零四(含)學年度以前入學、尚未通過資格考試之學生，亦適用本規定第八條之規定。

9. Students enrolled in or before academic year of 2015, yet have not passed the Ph.D. qualifying examination(s), are also subject to the regulations of Paragraph in Article 8.

第四章 博士論文研究計劃評核

Chapter 4 Assessment of Doctoral Dissertation Proposals

十、博士論文研究計畫評核之規定係以協助學生完成博士論文為宗旨，為提報研究計畫時有所依循而訂定。

10. The purpose of the chapter is to assist students in completing the doctoral dissertation and to set up procedures for the submission of doctoral dissertation proposals.

十一、本所博士班學生取得博士候選人資格後，得申請博士論文研究計畫評核。

11. Doctoral students in the GIIBA shall apply for the assessment of doctoral dissertation proposals after being entitled as Ph.D. candidates.

十二、博士論文研究計畫內容視研究性質(定性或定量)而定，參考內容大綱如下：

12. The content of the doctoral dissertation proposal depends on the research nature (qualitative or quantitative), which may be referred to the following:

- | | |
|----------|------------------------|
| (一) 研究背景 | A. Research background |
| (二) 研究動機 | B. Research motivation |

(三) 研究目的	C. Research purposes
(四) 研究架構	D. Research framework
(五) 文獻探討	E. Literature review
(六) 研究假說	F. Research hypothesis
(七) 研究設計	G. Research design
(八) 操作性定義	H. Operational definition
(九) 預期結果或貢獻	I. Anticipated results or contributions
(十) 研究限制	J. Limitations of the study

十三、博士論文研究計畫說明會由所長、至少一位相關領域之老師與本所博士班二年級至五年級（包含二年級與五年級）學生參加。所長及相關領域之老師分別予以評核。指導教授得自行決定是否參與。

13. The doctoral dissertation research proposal seminars shall be held and participated by the Director of the GIIBA, at least one professor in relevant field and doctoral students in their second to fifth year in the GIIBA. The assessment is to be conducted by the director and the professor in relevant fields. The advisor(s) of the students presenting proposal(s) may or may not take part in it.

十四、博士論文研究計畫說明會每學期舉行二次，一學年四次，舉辦日期於每次申請截止日後三週內，另由本所訂定之。博士論文研究計畫說明會之申請期間分別如下：

14. The doctoral dissertation proposal seminars are to be held twice a semester, and four times an academic year. The seminars will be held within three weeks after the deadline of application, and scheduled by the Office. Application dates for doctoral dissertation proposal seminars are as follows:

(一) 每一學期之第一週最後上班日結束前。

A. By the end of the last working day of the first week in each semester.

(二) 每一學期之第八週最後上班日結束前。

B. By the end of the last working day of the eighth week in each semester.

十五、博士論文研究計畫說明會舉辦流程如下：

15. The procedure of the doctoral dissertation proposal seminars:

(一) 博士論文研究計畫說明會提報人應於規定之申請截止日前提出申請辦理登記，並須於申請時繳交研究計畫書面資料3份。

A. Doctoral students applying for the doctoral dissertation proposal seminars should submit application before the issued deadline with 3 hard copies of dissertation proposals.

(二) 本所須通知本辦法第十三條規定之應出席學生，並於博士論文研究計畫說明會一週前，完成通知。

B. The GIIBA shall notify doctoral students regulated in Article 13 one week before the scheduled seminar.

十六、博士論文研究計畫之評核方式依「中國文化大學國際企業管理研究所博士論文研究計畫評核規則」辦理。

16. The assessment of the doctoral dissertation proposal is based on "The Rules of the Assessment of Doctoral Dissertation Proposals of the Graduate Institute of International Business Administration."

十七、博士論文研究計畫須舉行說明會並經評核通過後，始能申請論文初審。

17. Doctoral students who have presented their doctoral dissertation proposals in a seminar and have passed the assessment are qualified to apply for the preliminary examination of dissertations.

第五章 論文初審

Chapter 5 Preliminary Examination of Doctoral Dissertation

十八、依「中國文化大學博碩士班學位論文考試辦法」第六條之規定：「博士班研究生應在修業年限(含可申請之休學年限)屆滿一年前提出論文初稿，並檢具論文初審申請表逕向各系(所)辦理初審。由各系(所)聘請教授或學者專家三人進行初審，指導教授應列席，並於論文初審一週內將評核表送教務處。論文初審應於學位審查委員會召開三個月前舉行為原則，論文須經初審委員審核通過後，方得提學位審查委員會。

18. According to Article 6 of "The Rules of Doctoral/Master's Thesis Examination", at least one year before the last year of graduate studies (inclusive of the suspension year(s) allowed), doctoral students should present the first draft of the doctoral dissertation and submit the application form of preliminary examination to relevant departments. The departments shall employ three professors or scholars/experts for the preliminary examination with the advisor's presence. The result of preliminary examination should be sent to the Office of Academic Affairs within one week thereafter. The preliminary examination shall be held 3 months before the Degree Review Committee. The dissertation should be submitted to the Degree Review Committee only after the preliminary examination has passed.

十九、一百零五(含)學年度以後入學之博士班學生申請論文初審時，應已符合以下之條件：

19. Doctoral students registered in or after academic year of 2016 should meet all of the following requirements when applying for the preliminary dissertation examination:

(一) 於規定修業年限內修畢本所規定必修科目及最低畢業學分數。

A. Having completed all the required and minimum credits within the prescribed academic years.

(二) 已取得博士候選人資格。

B. Being entitled as Ph.D. candidates.

(三) 參加英文檢定考試(例如，托福)一次，達到相當托福(IBT)68分以上之英文畢業門檻檢定。

C. Having joined English proficiency examinations, e.g. TOEFL, and obtained a score equivalent to a minimum of 68 on TOEFL iBT as one of the

requirements for graduation.

(四) 已刊登於、或已被接收刊登(具備接受函即視同被刊登)於符合本所認可具相當學術水準之刊物。

D. Having had papers published or accepted to publish (with an acceptance letter) in academic journals approved by the GIIBA.

(五) 已在學術研討會發表一篇(含)以上之論文。

E. Having had one or more papers released in academic seminars.

(六) 經指導教授推薦。

F. Having been recommended by the advisor.

二十、期刊論文之規定如下：

20. Regulations for journal papers are as follows:

(一)期刊之類型與論文之篇數

A. Types of journals and the number of papers:

甲、SSCI：一篇。

(A) SSCI: one paper.

乙、SCI：二篇。

(B) SCI: two papers.

丙、EI Compendex：二篇，限期刊論文。

(C) EI Compendex: 2 papers, limited to journal papers only.

丁、TSSCI：一篇，不包含TSSCI觀察名單之期刊。

(D) TSSCI: 1 paper, excluding the journals on the TSSCI watch list.

戊、CSSCI (Chinese Social Science Citation Index)：一篇。

(E) CSSCI (Chinese Social Science Citation Index): 1 paper.

己、政府立案專業學會正式出版之學術刊物、或各有相關博士班研究所之大學校院正式出版之學術期刊，有兩人以上之評論人 (reviewers)進行匿名審查之制度：兩種刊物合計一篇。

(G) Official academic publications from government registered academies or universities with Ph.D. Program of postgraduate schools in relevant research fields, with an anonymous assessment of 2 or more reviewers: 1 paper in 2 publications.

庚、甲～己項期刊之組合的適當性，由所長認定。

(G) The appropriateness of the combination of papers published in different journals among the above-mentioned journals shall be approved by the Director of the GIIBA.

(二)本所博士班研究生投稿之學術期刊論文，其所刊登之刊物僅須符合本規定第二十條第(一)款之甲～己六項標準之一、或庚項之規定即可。申請者必須提出投稿全程之相關證明文件。

B. The journals in which the papers are published must comply with one of the regulations in Paragraph (A) to (F) or (G) in Item A under Article 20.

Doctoral students must submit all relevant application files related to the paper submission and review as supporting documents.

(三) 投稿之學術期刊論文限以在本所就讀期間完成者，並必須以本所博士班研究生或博士候選人名稱投稿，且必須為通訊作者。同一篇論文中若有一人以上之學生作者，該篇論文必須以公式： $(1/\text{學生作者人數})$ 計算篇數。

C. The journal paper(s) submitted must be written within the semester periods in which the doctoral student is enrolled and must be published under the student's name entitled with "doctoral student" or "doctoral candidate" at the GIIBA. He/she must be the corresponding author. If two or more authors are students, the calculation of the number of papers contributed must be based on the formula: $1 / \text{total number of students in the list of authors}$.

(四) 投稿之期刊不得為掠奪性期刊(以網站:

<https://predatoryjournals.com/journals/> 為準)，且研究之題目符合國際企業管理的範疇。

D. The journal to which the paper(s) submitted must not be on the list of predatory journals (refer to the website: <https://predatoryjournals.com/journals/>). The topic of the article must be related to the field of International Business Administration.

二十一、本所博士班學生申請論文初審時，應已在由以下之單位所舉辦之學術研討會發表一次(含)以上之學術論文：

21. Doctoral students who apply for preliminary examination of doctoral dissertation should have had one or more papers released in an academic conference organized by the following units:

(一) 政府立案專業學會所舉辦之學術研討會

A. Academic conferences held by government registered academies.

(二) 各有相關博士班研究所之系、所、或院所舉辦之學術研討會

B. Academic conferences held by postgraduate school departments.

(三) 其他規定同本規定第二十條第(二)、第(三)款。

C. Other regulations same as Paragraph B and C in Article 20.

二十二、博士班研究生於本規定第二十條刊物所發表之學術性文章應於提報學位審查委員會前五年內發表者為限，並自八十九學年入學之博士班研究生起實施(中國文化大學博士學位候選人資格考核實施要點，99.09.13 台高(二)字第 0990151229 號准予備查)。

22. The journal papers regulated in Article 20 must be published within five years from the submission day to the Degree Review Committee. Doctoral students enrolled in or after academic year of 2000 are subject to this requirement (as per The Doctorate Candidacy Examination Enforcement Guidelines, approved on Sept.13, 2011 under File No. 0990151229)

二十三、一百零四(含)學年度以前入學之博士班研究生得依本規定之辦法提出相關之申請。

23. Doctoral students enrolled in or before academic year of 2015 may submit their application under the Regulations.

第六章 附則

Chapter 6 Supplement

二十四、本辦法未盡事項，依「中國文化大學博碩士班學位論文考試辦法」、「中國文化大學博士學位候選人資格考核實施要點」及本校教務處公告之相關規定辦理。

24. Other matters and conditions not covered in the above regulations are subject to “The Rules of Doctoral/Master's Thesis Examination”, “The Enforcement Guidelines of Doctoral Students’ Qualifying Examination” and other relevant notices from the Office of Academic Affairs.

二十五、本辦法經所務會議通過後施行，並報送教務處備查，修正時亦同。

25. The Regulations are in effect after being approved at the Faculty Conference, and submitted to the Office of Academic Affairs for reference. Any revisions should become effective in the same way and same procedure.